RE: Annual Delivery of Advisory Disclosure Documents

Dear Client:

On an annual basis Registered Investment Advisers are required to file updated copies of the firm’s disclosure documents.

Since our last annual update filed on March 8, 2021, we have provided more information regarding the firm’s standard of care as it relates to recommendations to transfer or rollover your retirement accounts to our firm in Item 5(G) of this brochure.

We have attached the most current copy of our firm privacy policy, and would be happy to provide you with the other disclosures upon request.

If there are any changes in your financial situation or investment objectives, please contact your financial advisor at your earliest convenience to schedule a time to discuss this further. We would like to take this opportunity to thank you for your ongoing business and look forward to a prosperous year together.

Sincerely,

Patrick Catone

Chief Compliance Officer

818-626-9747

Encl: Privacy Policy